

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 2 2 2007 ...

Applicant(s): Paul M. Agbabian

Assignee: Symantec Corporation

Title: A SECURITY MANAGEMENT SYSTEM INCLUDING FEEDBACK

AND CONTROL

Serial No.: 10/660,225 Filed: September 10, 2003

Examiner: Unassigned Group Art Unit: 2136

Docket No.: SYMC1001

Montèrey, CA January 17, 2007

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents to the attention of the Examiner:

## U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,898,872	4/27/1999	Richley
2)	6,182,286	1/30/2001	Sigal et al.
3)	6,257,774	7/10/2001	Stack
4)	6,604,110	8/5/2003	Savage et al.
5)	6,718,535	4/6/2004	Underwood
6)	6,925,470	8/2/2005	Sangudi et al.
7)	6,986,102	1/10/2006	Baer et al.
8)	7,127,700	10/24/2006	Large

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- a representation that a search has been made,
   other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in  $\S 1.56(b)$ .

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 17, 2007.

Lisa A. Norris

Attorney for Applicant(s)

Respectfully submitted,

Reg. No. 44,976 (831) 655-0880

Attorney for Applicant(s)

January 17, 2007

Date of Signature

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Form PTO-1449					Atty Docket No.				Serial No.					
					SYMC1001				10/660,225					
ONPE INFORMATION DISCLOSURE CITATION					Applicant(s)									
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IN AN APPLICATION					Filing Date G				Group					
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2 GRADENKE	U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS SUBCL		FILING DATE IF APPROPRIATE						
	AA	5,898,872	4/27/1999	Richle	∍y	717	121							
	AB	6,182,286	1/30/2001	l Sigal	Sigal et al.		122							
	AC	6,257,774	7/10/2001	l Stack		717	110							
	AD	6,604,110	8/5/2003	Savage	Savage et al.		102							
	AE	6,718,535	4/6/2004	Under	vood	717	101							
	AF	6,925,470	8/2/2005	Sangu	di et al.	707	102							
	AG	6,986,102	1/10/2006	Baer e	et al.	715	514							
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Examiner			Date Consid	dered										
EXAMINER:	Initia	al if reference cor	sidered, whe	ther or not	citation is	in conforma	nce with	MPEI	P 609; d	raw line				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).